

K.W. CHAMBERS & CO.

Investment Securities Since 1961

Fee Schedule (as of September 1, 2018)

Account Fees

| | |
|-------------------------------|---------------------------------|
| IRA Annual Fee | \$65 |
| IRA Termination Fee | \$95 (waived at age 70 ½) |
| TOD Account (at distribution) | \$100 |
| Annual Inactive Account* | \$65 |
| Annual Inactive UTMA Account* | \$45 |
| Annual Safekeeping Fee | \$25 per security, up to \$250 |
| Privately-Held Securities | \$100 per security, up to \$600 |

Service Fees

Account Transfer

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|--|-------|
| ACAT (account transfer) | \$125 |
| (Additional charge for foreign securities) | |

Cash

| | |
|--------------------|--------------------|
| Domestic Wire | \$25 |
| International Wire | \$40 |
| Stop Payment | \$25 |
| Return Check | \$25 |
| Prepayment | \$15 plus interest |

Money Market Processing

| | |
|-----------------------------------|--------|
| Basic Account (checkwriting only) | No fee |
| Advantage Account (Annual Fee) | \$125 |
| Check Reorder | \$9 |

Security Processing

| | |
|--|--------------|
| Transfer and Ship | \$250 |
| Transfer and Ship (rush delivery) | \$500 |
| GNMA Certificate Issuance | \$250 |
| Physical Reorganization | \$50 - \$250 |
| Foreign Security Issuance | \$250 |
| Restricted Securities Clearance (Rule 144)** | \$250 |
| Physical Certificate Reject | \$50 |
| DRS Reject | \$75 |
| UIT Liquidation | \$25 |
| No-load Mutual Fund Transaction | \$25 |

Miscellaneous

| | |
|--|------------------------|
| Copy of Aged Monthly Statements | \$10 per statement |
| Copy of Confirmations, Certificates & Miscellaneous Documents | \$5 per item |
| Overnight Delivery | \$15 |
| Replacement of Lost Certificates** | \$100 |
| Account Research and Document Retrieval | \$25 per hour |
| Transaction Fee** | \$6.75 per transaction |

* Accounts are deemed inactive if fewer than 6 trades are billed during the 12-month period July 1 – June 30. Inactive fees are charged in September.

** Additional charges may apply.

All fees subject to change, and apply to accounts carried by Huntleigh Securities Corporation and/or K.W. Chambers & Co.